



Anderson 5-13-9-12

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

3

Patent Application

Inventor(s): Gregory Anderson, Vinod Anupam,
Richard B. Hull and Bharat Kumar
Case: 5-13-9-12
Filing Date: December 18, 2001
Serial No.: 10/022,926
Title: Methods and Apparatus for Automated
Monitoring and Action Taking Based
on Decision Support Mechanism

PETITION FOR FILING BY JOINT INVENTORS ON BEHALF
OF THEMSELVES AND THE NONSIGNING INVENTOR
PURSUANT TO 37 CFR 1.47(a)

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Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

Applicants hereby respectfully request the Commissioner to accept the filing of the above-identified patent application by other than all the inventors. Pursuant to 37 CFR 1.47(a), the signing joint inventors hereby execute the Declaration on behalf of themselves and the nonsigning inventor, Gregory Anderson, who has refused to sign the attached Declaration and Power of Attorney. The following documents are submitted herewith:

- a. Declaration and Power Of Attorney (including Added Pages to Declaration and Power of Attorney for Signature by Joint Inventors on Behalf of Nonsigning Inventor who Refuses to Sign);
- b. Statement(s) of Facts In Support of Filing On Behalf of Nonsigning Inventor; and
- c. the Petition Fee of \$130.00 is authorized below.

Please charge **Ryan, Mason & Lewis, LLP Deposit Account No. 50-0762** the amount of \$130.00, to cover the surcharge under 37 CFR §1.17. Duplicate copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0762** as required to correct the error.

05/20/2002 BABRAHA1 00000004 500762 10022926

01 FC:122 130.00 CH

Date: May 15, 2002

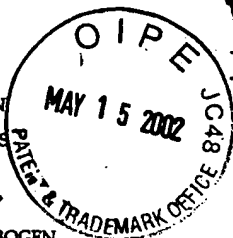
Respectfully,

William E. Lewis
Reg. No. 39,274
Attorney for Applicant(s)

Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, New York 11560

JOSEPH B. RYAN
KEVIN M. MASON
WILLIAM E. LEWIS

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*NOT ADMITTED IN NY

January 10, 2002

VIA FEDERAL EXPRESS

Richard B. Hull
Lucent Technologies Inc.
Room No. 2A-432
600-700 Mountain Avenue
Murray Hill, NJ 07974-0636

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OFFICE OF PETITIONS

Re:	Patent Application of :	G. Anderson et al.
	Entitled :	METHOD AND APPARATUS FOR AUTOMATED MONITORING AND ACTION TAKING BASED ON DECISION SUPPORT MECHANISM
	Lucent Case Name :	Anderson 5-13-9-12
	Filed :	December 18, 2001
	Serial No. :	10/022,926
	Our File No. :	1200-531

Dear Rick:

As you know, the above-referenced application was filed with the U.S. Patent Office on December 18, 2001. It is now time for us to submit the enclosed Declaration/Power of Attorney and Assignment documents for this application.

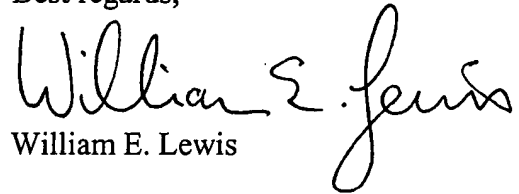
We would appreciate it if you and the other inventors in Murray Hill could sign and date these documents in the appropriate locations. The signed documents should then be forwarded to Gregory Anderson in Texas. The fully-executed documents should then be returned to us for filing in the U.S. Patent Office. As you may know, the signatures on the Assignment document need to be notarized. Pre-paid Fedex envelopes are enclosed for forwarding the documents to Gregory Anderson and returning the documents to our office.

We would also like to take this opportunity to remind you and the other inventors of your ongoing duty to disclose material information to the Patent Office. This duty continues as long as the application is pending. If you or the other inventors are now aware, or later become aware, of any articles, patents or other prior art which appear relevant to your invention as claimed, please send us copies for submission to the Patent Office, if you have not already done so.

Richard B. Hull
January 10, 2002
Page 2

If any changes need to be made to the information on the enclosed documents, please call us for instructions. Thank you very much for your help.

Best regards,


William E. Lewis

WEL:jer
Enclosure(s): Assignment
Declaration/Power of Attorney



#4
Anderson 5-13-9-12

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Patent Application

Inventor(s): Gregory Anderson, Vinod Anupam, Richard B. Hull and
Bharat Kumar
Case: 5-13-9-12
Filing Date: December 18, 2001
Serial No.: 10/022,926
Title: Methods and Apparatus for Automated Monitoring and Action Taking
Based on Decision Support Mechanism

**STATEMENT OF FACTS IN SUPPORT OF FILING BY
JOINT INVENTORS ON BEHALF OF THEMSELVES AND
THE NONSIGNING INVENTOR PURSUANT TO 37 CFR 1.47(a)**


I, Richard B. Hull, hereby declare that:

1. I am an employee of Lucent Technologies Inc., having an address at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, and I am a co-inventor in the above-identified patent application ("the Application").
2. I received a Declaration and Power of Attorney document from Mr. William E. Lewis, on or about January 12, 2002, and was requested to coordinate the execution of the Declaration by the inventors.
3. Mr. Gregory Anderson, one of the four inventors named in the Application, is a former employee of Lucent, having accepted a position with Avaya, before the filing date of the Application.
4. After signing the document and obtaining the signatures of the two other inventors who are still employed by Lucent, I forwarded the partially executed original Declaration and Power of Attorney to Mr. Anderson on or about March 8, 2002.
5. Since March 8, 2002, I have spoken with Mr. Anderson several times via telephone regarding the status of the Declaration. As recently as yesterday, May 14, 2002, Mr. Anderson informed me that he will not sign the Declaration due to his continuing concern over not getting appropriate recognition of his contributions to the Application from his management at Avaya.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: _____

5-15-02


Richard B. Hull

Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560



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Patent Application

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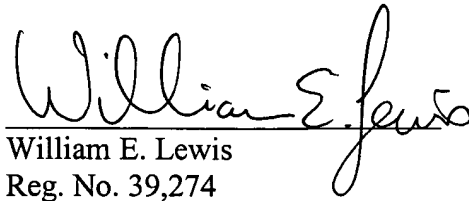
I, William E. Lewis, hereby declare that:

1. I am a partner in the law firm of Ryan, Mason & Lewis, LLP, having an address at 90 Forest Avenue, Locust Valley, NY 11560, and was responsible for preparing and filing the above-identified patent application ("the Application") on behalf of the Assignee, Lucent Technologies Inc.
2. I forwarded a Declaration and Power of Attorney to Mr. Richard B. Hull, a co-inventor of the above-identified patent application, on January 10, 2002 with instructions for Mr. Hull to coordinate the execution of the Declaration by the inventors. (See Letter dated January 10, 2002, attached hereto as Exh. 1).
3. On two separate occasions, namely May 3, 2002 and May 7, 2002, I participated in a telephone conference wherein a message was left for Mr. Gregory Anderson, one of the four inventors named in the Application, wherein the message requested Mr. Anderson to sign the Declaration for the Application.
4. To this date, I still have not received a copy of the Declaration for the Application executed by Mr. Anderson.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: _____

5/15/02


William E. Lewis
Reg. No. 39,274

Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560



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**ADDED PAGES TO DECLARATION AND POWER OF ATTORNEY
FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF
NONSIGNING INVENTOR WHO REFUSES TO SIGN**

I am an above named inventor and signed the attached Declaration and Power of Attorney on my own behalf and also sign this declaration under 37 CFR 1.47(a) on behalf of the nonsigning joint inventor, Gregory Anderson, who has refused to sign the attached Declaration and Power of Attorney.

Upon information and belief, Mr. Anderson's personal information is as follows:

Full Legal Name: Gregory Anderson
Citizenship: United States of America
Last Known Residence: 509 Belmont
Colleyville, Texas 76034

Enclosed are the following papers relating to this declaration to establish the proof of pertinent facts, and to show that such action is necessary to preserve the rights of Lucent:

- a. Declaration and Power of Attorney;
- b. a Statement(s) of Facts In Support of Filing By Joint Inventors On Behalf of Themselves and Nonsigning Inventor; and
- c. the Petition Fee of \$130.00.

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Full name of 1st joint inventor: Vinod Anupam

Inventor's signature  Date 05/14/2002

Residence: Bridgewater, Somerset County, New Jersey

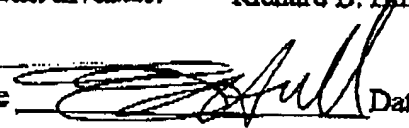
Citizenship: India

Post Office Address: 67 Shields Lane
Bridgewater, NJ 08807

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Full name of 2nd joint inventor: Richard B. Hull

Inventor's signature  Date 5-14-02

Residence: Chatham, Morris County, New Jersey

Citizenship: United States of America

Post Office Address: 12 Oliver Street
Chatham, NJ 07928

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of 3rd joint inventor: Bharat Kumar

Inventor's signature Bharat Kumar Date 5/14/02

Residence: Bridgewater, Somerset County, New Jersey

Citizenship: India

Post Office Address: 1006 Donegal Drive
Bridgewater, NJ 08807

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